| UNIT - III |
| :--- |
| Unit-III/Lecture-01 |
| Concept of Dynamic Programming |
| Concept of dynamic programming: |
| Dynamic Programming(usually referred to as DP ) is a powerful technique that allows to solve |
| many different types of problems in time O(n2) or O(n3) for which a naive approach would take |
| exponential time. In the word dynamic programming the word programming stands for |
| "planning" and it does not mean by computer programming. Dynamic programming is typically |
| applied to optimization problem. |
| Dynamic Programming is an algorithmic paradigm that solves a given complex problem by |
| breaking it into subproblems and stores the results of subproblems to avoid computing the same |
| results again. Following are the two main properties of a problem that suggest that the given |
| problem can be solved using Dynamic programming. |

1) Overlapping Subproblems
2) Optimal Substructure

## 1) Overlapping Subproblems:

Like Divide and Conquer, Dynamic Programming combines solutions to sub-problems. Dynamic Programming is mainly used when solutions of same subproblems are needed again and again. In dynamic programming, computed solutions to subproblems are stored in a table so that these don't have to recomputed. So Dynamic Programming is not useful when there are no common (overlapping) subproblems because there is no point storing the solutions if they are not needed again. For example, Binary Search doesn't have common subproblems. If we take example of following recursive program for Fibonacci Numbers, there are many subproblems which are solved again and again.

```
int fib(int n)
```

\{
if ( $n<=1$ )
return n;
return fib(n-1) $+\mathrm{fib}(\mathrm{n}-2)$;
\}

## 2) Optimal Substructure

A problem is said to have optimal substructure if an optimal solution can be constructed efficiently from optimal solutions of its subproblems. This property is used to determine the usefulness of dynamic programming and greedy algorithms for a problem.

There are two ways of doing this.

1) Top-Down : Start solving the given problem by breaking it down. If you see that the problem has been solved already, then just return the saved answer. If it has not been solved, solve it and save the answer. This is usually easy to think of and very intuitive. This is referred to as Memoization.
2) Bottom-Up : Analyze the problem and see the order in which the sub-problems are solved and start solving from the trivial subproblem, up towards the given problem. In this process, it is guaranteed that the subproblems are solved before solving the problem. This is referred to as

## Dynamic Programming:

Note that divide and conquer is slightly a different technique. In that, we divide the problem in to non-overlapping subproblems and solve them independently, like in mergesort and quick sort.

Principal of optimality: [RGPV June-2014(2)]
The principle of optimality states that no matter what the first decision, the remaining decisions must be optimal with respect to the state that results from this first decision.

This principle implies that an optimal decision sequence is comprised for some formulations of some problem.

Since the principle of optimality may not hold for some formulations of some problems, it is necessary to verify that it does not hold for the problem being solved.

Dynamic programming cannot be applied when this principle does not hold.

| S.NO | RGPV QUESTIONS | Year | Marks |
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| Q.1 |  |  |  |
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| Unit-III/Lecture-02 |
| :---: |
| $\mathbf{0 / 1}$ knapsack Problem |

0/1 knapsack: [RGPV June-2014(3)]

Given weights and values of $n$ items, put these items in a knapsack of capacity $W$ to get the maximum total value in the knapsack. In other words, given two integer arrays val[0..n-1] and $w t[0 . . n-1]$ which represent values and weights associated with $n$ items respectively. Also given an integer $W$ which represents knapsack capacity, find out the maximum value subset of val[] such that sum of the weights of this subset is smaller than or equal to W . You cannot break an item, either pick the complete item, or don't pick it (0-1 property).

## Problem Description

If we are given $n$ objects and a knapsack or a bag in which the object $i$ that has weight $w_{i}$ is to be placed. The knapsack has a capacity $W$. Then the profit that can be earned is $p_{i} x_{i}$. The objective is to obtain filling of knapsack with maximum profit earned.Maximized $\mathrm{p}_{\mathrm{i}} \mathrm{x}_{\mathbf{i}}$. subject to constraint $\mathrm{w}_{\mathrm{i}} \mathrm{x}_{\mathrm{i}}<=\mathrm{W}$ Where $1<=\mathrm{i}<=\mathrm{n}$ and n is total no. of objects and $\mathrm{x}_{\mathrm{i}}=0$ or 1 .

## Steps and Notations

## Step-1:

Let $f_{i}\left(y_{i}\right)$ be the value of optimal solution. Then $s^{i}$ is pair ( $\left.p, w\right)$ where $p=f\left(y_{j}\right)$ and $w=y_{j}$ Initially $s^{0}=\{(0,0)\}$
We can compute $s^{i+1}$ from $s^{i}$. These computations of $s^{\mathbf{i}}$ are basically the sequence of decisions made for obtaining the optimal solutions.

## Step-2:

We can generate the sequence of decisions in order to obtain the optimum selection for solving the knapsack problem.

Let $\mathrm{x}_{\mathrm{n}}$ be the optimum sequence. Then there are two instances $\left\{\mathrm{x}_{\mathrm{n}}\right\}$ and $\left\{\mathrm{x}_{\mathrm{n}-1}, \mathrm{x}_{\mathrm{n}-2} \ldots . \mathrm{x}_{1}\right\}$.
So from $\left\{\mathrm{x}_{\mathrm{n}-1}, \mathrm{X}_{\mathrm{n}-2} \ldots . \mathrm{x}_{1}\right\}$ we will choose the optimum sequence with respect to $\mathrm{X}_{\mathrm{n}}$.
The selection of sequence from remaining set should be such that we should be able to fulfill the condition of filling knapsack capacity W with maximum profit.
Otherwise $\left\{\mathrm{x}_{\mathrm{n}-1}, \mathrm{x}_{\mathrm{n}-2} \ldots \mathrm{x}_{1}\right\}$ is not optimum.
This proves that $0 / 1$ knapsack problem is solved using principle of optimality.

## Step-3:

Let $f_{i}\left(y_{j}\right)$ be the value of optimal solution. Then $f i(y)=\max \left\{f_{i-1}(y), f_{i-1}\left(y-w_{i}\right)+p_{i}\right\}$
Initially compute

$$
s^{0}=\{(0,0)\}
$$

$\mathrm{s}_{1}^{\mathrm{i}}=\left\{(\mathrm{P}, \mathrm{W}) \mid\left(\mathrm{P}-\mathrm{p}_{\mathrm{i}}, \mathrm{W}-\mathrm{w}_{\mathrm{i}}\right)\right.$ belongs to $\left.\mathrm{s}^{\mathrm{i}}\right\}$
$S^{i+1}$ can be computed by merging $\mathrm{s}^{\mathrm{i}}$ and $\mathrm{s}^{\mathrm{i}}{ }_{1}$

## Purging rule

If $\mathrm{s}^{\mathrm{i}+1}$ contains $\left(\mathrm{P}_{\mathrm{j}}, \mathrm{W}_{\mathrm{j}}\right)$ and $\left(\mathrm{P}_{\mathrm{k}}, \mathrm{W}_{\mathrm{k}}\right)$ these two pairs such that $\mathrm{Pj}<=\mathrm{Pk}$ and $\mathrm{Wj}>=\mathrm{Wk}$, then $\left(\mathrm{P}_{\mathrm{j}}, \mathrm{W}_{\mathrm{j}}\right)$ can be eliminated. This purging rule is also called as dominance rule. In purging rule basically the dominated tuples gets purged. In short remove the pair with less profit and more weight.

## Problem-1

Solve knapsack instance $\mathrm{M}=8$, and $\mathrm{n}=4$. let $\mathrm{p}_{\mathrm{i}}$ and $\mathrm{w}_{\mathrm{i}}$ are as shown below.

| $\mathbf{i}$ | $\mathbf{p}_{\mathbf{i}}$ | $\mathbf{w}_{\mathbf{i}}$ |
| :---: | :---: | :---: |
| 1 | 1 | 2 |
| 2 | 2 | 3 |
| 3 | 5 | 4 |
| 4 | 6 | 5 |

Solution-
$s^{0}=\{(0,0)\}$ initially $s^{0}=\{(1,2)\}$
That means while building $s^{0}{ }_{1}$ we select the next $\mathrm{i}^{\text {th }}$ pair.
For $s^{0}{ }_{1}$ we have selected first ( $\mathrm{P}, \mathrm{W}$ ) pair which (1,2).
$S^{1}=\left\{\right.$ merge $s^{0}$ and $\left.s^{0}{ }_{1}\right\}$ $=\{(0,0),(1,2)\}$
$\mathrm{s}^{1}{ }_{1}=\left\{\right.$ select next $(\mathrm{P}, \mathrm{W})$ pair and add it with $\left.\mathrm{s}^{1}\right\}$ $=\{(2,3),(2+0,3+0),(2+1,3+2)\}$
$s^{1}{ }_{1}=\{(2,3),(3,5)\} \quad / /$ repetition of $(2,3)$ avoided.
$S^{2}=\left\{\right.$ merge candidates from $s^{1}$ and $\left.s^{1}{ }_{1}\right\}$ $=\{(0,0),(1,2),(2,3),(3,5)\}$
$S^{2}{ }_{1} \quad=\left\{\right.$ select next $(P, W)$ pair and add it with $\left.s^{2}\right\}$ $=\{(5,4),(6,6),(7,7),(8,9)\}$
$S^{3}=\left\{\right.$ merge candidates from $s^{2}$ and $\left.s^{2}{ }_{1}\right\}$ $=\{(0,0),(1,2),(2,3),(5,4),(6,6),(7,7),(8,9)\}$

Note that the pair $(3,5)$ is purged form $s^{3}$.
Because let us assume $\left(\mathrm{P}_{\mathrm{j}}, \mathrm{W}_{\mathrm{j}}\right)=(3,5)$ and $\left(\mathrm{P}_{\mathrm{k}}, \mathrm{W}_{\mathrm{k}}\right)=(5,4)$.
Here $P_{j}<=P_{k}$ and $W_{j}>W_{k}$ is true hence we will eliminate pair $\left(P_{j}, W_{j}\right)=(3,5)$ from $s^{3}$.
$\mathrm{S}^{3}{ }_{1} \quad=\left\{\right.$ select next $(\mathrm{P}, \mathrm{W})$ pair and add it with $\left.\mathrm{s}^{3}\right\}$
$S^{3}{ }_{1}=\{(6,5),(7,7),(8,8),(11,9),(12,11),(13,12),(14,14)\}$
$S^{4}=\{(0,0),(1,2),(2,3),(5,4),(6,6),(7,7),(8,9),(6,5),(14,8),(11,9),(12,11),(13,12)$
Now we are interested in $M=8$. We get pair $(8,8)$ in $s^{4}$.
Hence we will set $x_{4}=1$.

Now we select next object ( $\mathrm{P}-\mathrm{p}_{4}$ ) and ( $\mathrm{W}-\mathrm{w}_{4}$ ).
i.e (8-6) and (8-5).
i.e $(2,3)$

Pair $(2,3)$ belongs to $s^{2}$. hence set $x_{2}=1$.
So we get the final solution as ( $0,1,0,1$ ).

| S.NO | RGPV QUESTIONS | Year | Marks |
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| Q.1 |  |  |  |
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## Multistage graph

## Multistage graph:[RGPV June-2014(2)]

A multistage graph $\mathrm{G}=(\mathrm{V}, \mathrm{E})$ is a directed graph in which the vertices are partitioned into $\mathrm{k} \geq 2$ disjoint sets $\mathrm{V}_{\mathrm{i}}, 1 \leq \mathrm{i} \leq \mathrm{k}$. In addition if $(\mathrm{u}, \mathrm{v})$ is an edge in E , then $\mathrm{u} \varepsilon \mathrm{V}_{\mathrm{i}}$ and $\mathrm{v} \varepsilon \mathrm{V}_{\mathrm{i}+1}$, for some $\mathrm{i}, 1 \leq$ $\mathrm{i} \leq \mathrm{k}$. The sets $\mathrm{V}_{1}$ and $\mathrm{V}_{\mathrm{k}}$ are such that $\left|\mathrm{V}_{1}\right|=\left|\mathrm{V}_{\mathrm{k}}\right|=1$.
Let $s$ and $t$, respectively be the vertex in $V_{1}$ and $V_{k}$. The vertex $s$ is the source, and $t$ the sink.
The above definition says that the vertices are divided into several disjoint partitions in a multistage graph. Each partition is called as a stage which contains several vertices. The first and last partition /stage of the graph contains one vertex each, namely, the source (s) and the sink ( t ).

Let $c(i, j)$ be the cost of edge ( $\mathrm{i}, \mathrm{j}$ ). The cost of a path from s to t is the sum of the costs of the edges on the path. The multistage graph problem is to find a minimum cost path from s to t . It should be noted that each set $V_{i}$ defines a stage in the graph. Because of the constraints on $E$ (the set of edges), every path from s to $t$ starts from the source vertex in stage 1 , goes to stage 2 , then to stage 3 and so on, and eventually terminates at the sink vertex in stage $K$, i.e. the last stage. Consider the directed graph given below.


Fig-3.1 Multistage Graph with Five Stages

There are five stages in the graph i.e. $\mathrm{k}=5$ in this graph. The five stages are listed below:
Stage 1: $V_{I}=\{1\}$, the source vertex $s$.
Stage 2: $V_{2}=\{2,3,4,5\}$
Stage 3: $V_{3}=\{6,7,8\}$
Stage 4: $V_{4}=\{9,10,11\}$
Stage 5: $V_{5}=\{12\}$, the sink vertex $t$.

From the graph given in Fig-3.1, it can be noticed that the shortest path from the source vertex to sink vertex is "1-2-7-10-12". Tthe path from s to $t$ starts from the source vertex in stage 1 , goes to stage 2 , then to stage 3 and so on, and terminates at the sink vertex in stage 5 . i.e. the shortest path from s to $t$ starts from the source vertex, 1 , which is in stage 1 , travels through vertex 2 which is in stage 2 , vertex 7 which is in stage 3 , vertex 10 which is in stage 4 and terminates at the sink vertex, 12 , which is in stage 5 . There are many real-life problems that can be formulated as multistage graph problem. Few examples include resource allocation for a project in a software company or manufacturing company, project management, job scheduling in operating system etc.

## Finding the Shortest Path from Source to Sink

A dynamic programming formulation for $k$-stage graph problem is obtained by first noticing that every s to $t$ path is the result of a sequence of ( $k-2$ ) decisions. The $i^{\text {th }}$ decision involves determining which vertex in $\mathrm{V}_{\mathrm{i}+1}, 1<\mathrm{i}<\mathrm{k}-2$, is to be on the path. It is easy to see that the principle of optimality holds.

From the weights assigned in the graph, it is easy to observe the following values:
$\operatorname{cost}(1,2)=9, \operatorname{cost}(1,3)=7, \operatorname{cost}(1,4)=3$ and $\operatorname{cost}(1,5)=2$.
$\operatorname{cost}(2,6)=4, \operatorname{cost}(2,7)=2$ and $\operatorname{cost}(2,8)=1$.
$\operatorname{cost}(3,6)=2$ and $\operatorname{cost}(3,7)=7$.
$\operatorname{cost}(4,8)=11$.
$\operatorname{cost}(5,7)=11$ and $\operatorname{cost}(5,8)=8$.
$\operatorname{cost}(6,9)=6$ and $\operatorname{cost}(6,9)=5$.
$\operatorname{cost}(7,9)=4$ and $\operatorname{cost}(7,10)=3$.
$\operatorname{cost}(8,10)=5$ and $\operatorname{cost}(8,11)=6$.
$\operatorname{cost}(9,12)=4$.
$\operatorname{cost}(10,12)=2$.
$\operatorname{cost}(11,12)=5$.
There are two approaches, namely, forward approach and backward approach, to find the shortest path from the source node to the sink node in a multistage graph.

## Forward approach:

Assume at stage k that we know the min cost path from each node in stage $\mathrm{k}+1$ to the goal state.

$\operatorname{MinCost}(\mathrm{Q}, \mathrm{Goal})=\operatorname{Min}(\operatorname{Cost}(\mathrm{Q}, \mathrm{R} 1)+\operatorname{MinCost}(\mathrm{R} 1, \mathrm{Goal}), \operatorname{Cost}(\mathrm{Q}, \mathrm{R} 2)+\operatorname{Min} \operatorname{Cost}(\mathrm{R} 2, \mathrm{Goal}), \ldots$, $\operatorname{Cost}(\mathrm{Q}, \mathrm{Rn})+\mathrm{MinCost}(\mathrm{Rn}, \mathrm{Goal}))$

The cost is computed as follows using the forward approach.

$$
\begin{align*}
\operatorname{cost}(\mathrm{i}, \mathrm{j}) & =\min \{c(\mathrm{j}, \mathrm{l})+\operatorname{cost}(\mathrm{i}+1,1)\} \ldots \ldots \ldots \ldots \ldots . .(1)  \tag{1}\\
& 1 \varepsilon \mathrm{~V}_{\mathrm{i}+1} \\
& <\mathrm{j}, 1>\varepsilon \mathrm{E}, \text { more than one vertex is considered for } 1
\end{align*}
$$

Since, $\operatorname{cost}(\mathrm{k}-1, \mathrm{j})=\mathrm{c}(\mathrm{j}, \mathrm{t})$ if $\langle\mathrm{j}, \mathrm{t}\rangle \varepsilon \mathrm{E}$ and $\operatorname{cost}(\mathrm{k}-1, \mathrm{j})=\infty$ if $\langle\mathrm{j}, \mathrm{t}\rangle$ does not belong to E .

We need to solve for cost $(1, s)$ by first computing cost $(k-2, j)$ for all $j \varepsilon V_{k-2}$, then computing cost $(k-3, j)$ for all $\mathrm{j} \varepsilon \mathrm{V}_{\mathrm{k}-3}$ etc. and finally cost ( $1, \mathrm{~s}$ ). The computations using the forward approach based on formula (1) for the graph shown in Fig-3.1 .

```
i=k-2
```



```
cost[3,7)= Min {4+\operatorname{cost(4,9),3+\operatorname{cost}(4,10)}=5}+5=0
\operatorname{cost}(3,8)=\operatorname{Min}{5+\operatorname{cost}(4,10),6+\operatorname{cost}(4,11)}=7
\operatorname{cost}(2,2)=\operatorname{Min}{4+\operatorname{cost}(3,6),2+\operatorname{cost}(3,Z),1+\operatorname{cost}(3,8)}=7
cost(2,3)= Min {2+\operatorname{cost}(3,6),7+\operatorname{cost}(3,7)}=7
\operatorname{cost}(2,4)=\operatorname{Min}{11+\operatorname{cost}(3,8)}=18
\operatorname{cost}(2,5)=\operatorname{Min}{11+\operatorname{cost}(3,7),8+\operatorname{cost}(3,8)}=15
cost(1,1)= Min {9+\operatorname{cost}(2,2),7+\operatorname{cost}(2,3),3+\operatorname{cost}(2,4),2+\operatorname{cost}(2,5)}=16
suppose D(i,j) =r where r minimize the value of c[j,r] + \operatorname{cost}(i+1,r)
```

It should be noted that in the calculation of cost $(2,2)$, we have reused the values of cost $(3,6)$ and cost $(3,7)$ and cost $(3,8)$, and thereby avoiding the recomputation. A minimum cost path from s to $t$ has the cost of 16 . This path can be determined easily if we record the decision made at each state (vertex).

```
D(3,6)=10 D(2,3)=6
D(3,7)=10 D(2,4)=8
D(3,8)=10 D(2,5)=8
D}(2,2)=7\quadD(1,1)=
1 -> V2 }->\textrm{V}3->\textrm{V}4->1
V2 = D (1,1) = 2
V3 = D (2,2) = 7
V4=D(3,7) = 10
The path =1 1 2 + 7 + 10->12
```

Let the minimum-cost path be $\mathrm{s}=1, \mathrm{v}_{2}, \mathrm{v}_{3}, \ldots . \mathrm{v}_{\mathrm{k}-1}$, t . It is easy to see that $\mathrm{v}_{2}=\mathrm{D}(1,1)=2, \mathrm{v}_{3}=\mathrm{D}(2$, $\mathrm{D}(1,1))=7$ and $\mathrm{v}_{4}=\mathrm{D}(3, \mathrm{D}(2, \mathrm{D}(1,1)))=\mathrm{D}(3,7)=10$. The minimum-cost path from the source node, $s$, to the sink node, $t$, for the graph shown in Figure 1 using the forward approach is "1-2-7-1012 ". The minimum cost is 16 .
void FGraph (Graph G, int k , int n , int p[]$)$
$/ /$ The input is a k-stage graph $\mathrm{G}=(\mathrm{V}, \mathrm{E})$ with n vertices indexed in order of stages. E is a set of
//edges and $c[i][j]$ is the cost of $\langle i, j>. p[1: k]$ is a minimum - cost path.
\{

```
        float cost[MAXSIZE];
        int d[MAXSIZE], r;
```

        \(\operatorname{cost}[\mathrm{n}]=0.0\);
        for (int \(\mathrm{j}=\mathrm{n}-1 ; \mathrm{j}>=1 ; \mathrm{j}-\)-) //compute cost \([\mathrm{j}]\)
        \{
        \(/ /\) Let \(r\) be a vertex such that \(<j, r>\) is an edge of \(G\) and \(c[j][r]+\operatorname{cost}[r]\) is minimum
            \(\operatorname{cost}[\mathrm{j}]=\mathrm{c}[\mathrm{j}][\mathrm{r}]+\operatorname{cost}[\mathrm{r}] ;\)
            \(\mathrm{d}[\mathrm{j}]=\mathrm{r}\);
    \}
    //Find a minimum- cost path.
    \(\mathrm{p}[1]=1 ; \mathrm{p}[\mathrm{k}]=\mathrm{n}\);
    for ( \(\mathrm{j}=2 ; \mathrm{j}<=\mathrm{k}-1 ; \mathrm{j}++\) )
    \(\mathrm{p}[\mathrm{j}]=\mathrm{d}[\mathrm{p}[\mathrm{j}-1]]\);
    \}

## Backward approach:

Assume at stage k that we know the min cost path from start state to each node in stage $\mathrm{k}-1$.

$\operatorname{MinCost}(\mathrm{Q}, \mathrm{Goal})=\operatorname{Min}(\operatorname{Cost}(\mathrm{P} 1, \mathrm{Q})+\operatorname{MinCost}(\operatorname{Start}, \mathrm{P} 1), \operatorname{Cost}(\mathrm{P} 2, \mathrm{Q})+\operatorname{MinCost}($ Start, P2), ..., $\operatorname{Cost}(\mathrm{Pn})+\mathrm{MinCost}($ Start, Pn))

The backward approach is similar to forward approach. The computation in forward approach start from $V_{(k-2)}$, whereas, in backward approach it starts from $V_{3}$. Backward approach employs the following computation. Let $\mathrm{bp}(\mathrm{i}, \mathrm{j})$ be a minimum cost path from vertex $s$ to a vertex j in $\mathrm{V}_{\mathrm{i}}$. Let bcost $(\mathrm{i}, \mathrm{j})$ be the cost of $\mathrm{bp}(\mathrm{i}, \mathrm{j})$.


Fig-3.2 Multistage Graph with Five Stages
The computations by employing backward approach using formula (2) are given below:
$\mathrm{b} \operatorname{cost}(\mathrm{i}, \mathrm{j})=\min \{\mathrm{b} \operatorname{cost}(\mathrm{i}-1, \mathrm{l})+\mathrm{c}(\mathrm{l}, \mathrm{j})\}$
$b \operatorname{cost}(3,4)=\min \{\operatorname{bcost}(2,2)+c(2,4), b \operatorname{cost}(2,3)+c(3,4)\}=\min \{8,8\}=8$
$\operatorname{bcost}(3,5)=\min \{b \operatorname{cost}(2,3)+c(3,5)\}=\min \{7\}=7$
$\operatorname{bcost}(3,6)=\min \{\operatorname{bcost}(2,2)+c(2,6), b \operatorname{cost}(2,3)+c(3,6)\}=\min \{8,10\}=8$
$\operatorname{bcost}(4,7)=\min \{b \operatorname{cost}(3,4)+c(4,7), b \operatorname{cost}(3,5)+c(5,7)\}=\min \{9,13\}=9$
$b \operatorname{cost}(4,8)=\min \{b \operatorname{cost}(3,4)+c(4,8), b \operatorname{cost}(3,5)+c(5,8), b \operatorname{cost}(3,6)+c(6,8)\}=\min \{12,9,10\}=9$
$\operatorname{bcost}(5,9)=\min \{b \operatorname{cost}(4,7)+c(7,9), b \operatorname{cost}(4,8)+c(8,9)\}=\min \{16,12\}=12$
Computations to find the minimum path are given below:
$\mathrm{p}[\mathrm{j}]=\mathrm{d}[\mathrm{p}[\mathrm{j}+1]]$, where $\mathrm{p}[1]=1, \mathrm{p}[\mathrm{k}]=\mathrm{n}$, for $(\mathrm{j}=\mathrm{k}-1 ; \mathrm{j}>=2 ; \mathrm{j}--)$

| $\mathrm{J}:$ | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| ---: | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\mathrm{~d}[\mathrm{j}]:$ |  |  |  | 2 | 3 | 2 | 4 | 5 | 8 |
| $\mathrm{p}[\mathrm{j}]:$ | 1 | 3 | 5 | 8 | 9 |  |  |  |  |

Therefore, the minimum path using the backward approach for the graph given in Figure 3.2 is "1-3-5-8-9" and the minimum cost is 12 . If the graph G is represented by adjacency lists, if G has $|\mathbf{E}|$ edges, then the time complexity of multistage graph problem is $\mathrm{O}(|\mathrm{V}|+|\mathrm{E}|)$.

| S.NO | RGPV QUESTIONS | Year | Marks |
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| Q.1 |  |  |  |
| Q.2 |  |  |  |
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## Unit-III/Lecture-04

Problems based on Multistage graph
:[RGPV/June-2014(7)]
Q. 1 Find a minimum cost path from ' $S$ ' to ' $t$ ' in multistage graph using dynamic programming?


## Solution:


$\mathrm{d}(\mathrm{S}, \mathrm{T})=\min \{1+\mathrm{d}(\mathrm{A}, \mathrm{T}), 2+\mathrm{d}(\mathrm{B}, \mathrm{T}), 5+\mathrm{d}(\mathrm{C}, \mathrm{T})\}$

$$
\mathrm{d}(\mathrm{~A}, \mathrm{~T})=\min \{4+\mathrm{d}(\mathrm{D}, \mathrm{~T}), 11+\mathrm{d}(\mathrm{E}, \mathrm{~T})\}
$$

$$
=\min \{4+18,11+13\}=22 .
$$



$$
\begin{aligned}
\mathrm{d}(\mathrm{~B}, \mathrm{~T}) & =\min \{9+\mathrm{d}(\mathrm{D}, \mathrm{~T}), 5+\mathrm{d}(\mathrm{E}, \mathrm{~T}), 16+\mathrm{d}(\mathrm{~F}, \mathrm{~T})\} \\
& =\min \{9+18,5+13,16+2\}=18 . \\
\mathrm{d}(\mathrm{C}, \mathrm{~T}) & =\min \{2+\mathrm{d}(\mathrm{~F}, \mathrm{~T})\}=2+2=4 \\
\mathrm{~d}(\mathrm{~S}, \mathrm{~T}) & =\min \{1+\mathrm{d}(\mathrm{~A}, \mathrm{~T}), 2+\mathrm{d}(\mathrm{~B}, \mathrm{~T}), 5+\mathrm{d}(\mathrm{C}, \mathrm{~T})\} \\
& =\min \{1+22,2+18,5+4\}=9 .
\end{aligned}
$$


Q. 2 Find a minimum cost path from ' $S$ ' to ' $t$ ' in multistage graph using dynamic programming? [RGPV JUNE-2014]


## Reliability Design:

Reliability means the ability of an apparatus, machine, or system to consistently perform its intended or required function or mission, on demand and without degradation or failure.

Reliability design using dynamic programming is used to solve a problem with a multiplicative optimization function. The problem is to design a system which is composed of several devices connected in series (below Fig-3.3(b)). Let $r$; be the reliability of device $D$; (i.e. $r$; is the probability that device $i$ will function properly). Then, the reliability of the entire system is $\pi \mathbf{r}_{\mathrm{i}}$. Even if the individual devices are very reliable (the $\mathrm{r}_{\mathrm{i}}$ 's are very close to one), the reliability of the system may not be very good.


Fig-3.3(a) Devices connected in series


Fig-3.3(b) Multiple Devices Connected in Parallel in each stage

Multiple copies of the same device type are connected in parallel (Fig-3.3(b)) through the use of switching circuits. The switching circuits determine which devices in any given group are functioning properly. They then make use of one such device at each stage.

If stage $i$ contains $m_{i}$ copies of device $D_{i}$ then the probability that all $\mathrm{m}_{\mathrm{i}}$ have a malfunction is $\left(1-r_{i}\right)^{m i}$. Hence the reliability of stage $i$ becomes $1-\left(1-r_{i}\right)^{m}$. Thus, if $r_{i}=0.99$ and $m_{i}=2$ the stage reliability becomes 0.9999 . In any practical situation, the stage reliability will be a little less than $1-\left(1-\mathbf{r}_{i}\right)^{m} \mathbf{i}$ because the switching circuits themselves are not fully reliable. Also, failures of copies of the same device may not be fully independent (e.g. if failure is due to design defect). Let us assume that the reliability of stage $i$ is actually given by a function $\boldsymbol{\Phi}_{\mathrm{i}}\left(\mathrm{m}_{\mathrm{i}}\right), 1<=\mathrm{i}<=\mathrm{n}$. (It is quite conceivable that $\Phi_{i}\left(\mathrm{~m}_{\mathrm{i}}\right)$ may decrease after a certain value of $m ;$ ). The reliability of the system of stages is $\prod_{1<=\mathrm{i}<=\mathrm{n}} \boldsymbol{\Phi}_{\mathrm{i}}\left(\mathbf{m}_{\mathrm{i}}\right)$.

Our problem is to use device duplication to maximize reliability. This maximization is to be carried out under a cost constraint.

Let $C_{\mathrm{i}}$ be the cost of each unit of device $i$ and let $c$ be the maximum allowable cost of the system being designed.
We wish to solve the following maximization problem:
maximize $\prod_{1<=\mathrm{i}<=\mathrm{n}} \Phi_{\mathrm{i}}\left(\mathrm{m}_{\mathrm{i}}\right)$
subject to $\sum_{1<=\mathrm{i}<=\mathrm{n}} \mathrm{c}_{\mathrm{i}} \mathrm{m}_{\mathrm{i}}<=\mathrm{C}$
$\mathrm{mi}>=1$ and integer $\mathrm{i}, 1<=\mathrm{i}<=\mathrm{n}$
A dynamic programming solution may be obtained in a manner similar to that used for the knapsack problem. Since, we may assume each $\mathrm{c}_{\mathrm{i}} ;>0$, each $\mathrm{m}_{\mathrm{i}}$ must be in the range $1<=\mathrm{m}_{\mathrm{i}}<=\mathrm{u}_{\mathrm{i}}$ where

$$
\mathbf{u}_{\mathbf{i}}=\mathrm{L}_{\left.\left(\mathbf{c}+\mathbf{c}_{\mathbf{i}}-\sum_{1 \text { ton }} \mathbf{c}_{\mathbf{j}}\right) / \mathbf{c}_{\dot{i}}\right\lrcorner}
$$

The upper bound $\mathbf{u}_{i}$ follows from the observation that $\mathbf{m}_{\mathbf{j}}>=1$. An optimal solution $m 1, m 2, \cdots$, $m_{n}$ is the result of a sequence of decisions, one decision for each $m_{i}$.

Letfi( x$)$ represent the maximum value of $\Phi\left(\mathrm{m}_{\mathrm{j}}\right), 1<=\mathrm{j}<=\mathrm{i}$ subject to the constraints
$\sum_{1<=j<=i} c_{j} m_{j}<=x$ and $1<=m j<=u j, 1<=j<=i$. Then, the value of an optimal solution is $f_{n}(c)$. The last decision made requires one to choose $m_{n}$ from one of $\left\{1,2,3, \ldots, \mathrm{u}_{\mathrm{n}}\right\}$. Once a value for $m_{\mathrm{n}}$ has been chosen, the remaining decisions must be such as to use the remaining funds $c-c_{n} m_{n}$ in an optimal way. The principal of optimality holds and

$$
\mathrm{f}_{\mathrm{n}}(\mathrm{c})=\max _{1<=\mathrm{mn}<=\mathrm{un}}\left\{\Phi_{\mathrm{n}}\left(\mathrm{~m}_{\mathrm{n}}\right) \mathrm{f}_{\mathrm{n}-1}\left(\mathrm{c}-\mathrm{c}_{\mathrm{n}} \mathrm{~m}_{\mathrm{n}}\right)\right\}
$$

For any $f i(x), \mathrm{i}>=1$ this equation generalizes to

$$
\mathrm{f}_{\mathrm{i}}(\mathrm{x})=\max _{1<=\mathrm{mi}<=u \mathrm{ui}}\left\{\Phi_{\mathrm{i}}\left(\mathrm{~m}_{\mathrm{i}}\right) \mathrm{f}_{\mathrm{i}-1}\left(\mathrm{c}-\mathrm{c}_{\mathrm{i}} \mathrm{~m}_{\mathrm{i}}\right)\right\}
$$

| S.NO | RGPV QUESTIONS | Year | Marks |
| :---: | :---: | :---: | :---: |
| Q.1 |  |  |  |
| Q.2 |  |  |  |
|  |  |  |  |

## Problems based on Reliability design:

Q. 1 Design a three stage system with device types D1,D2,D3. The costs are Rs. 30, Rs. 15 and Rs. 20 respectively. The cost of the system is to be no more than Rs. 105. The reliability of each device type is $0.9,0.8$ and 0.5 respectively.

## Solution:

We will first compute $u_{1}, u_{2}, u_{3}$ using following formula.
$\mathrm{u}_{\mathrm{i}}=\left(\mathrm{C}+\mathrm{C}_{\mathrm{i}}-\operatorname{sigma} \mathrm{C}_{\mathrm{j}}\right) / \mathrm{C}_{\mathrm{i}}$
For computing $u_{i}$

$$
\mathrm{u}_{1}=2 \text { (approx value) }
$$

For computing $\mathrm{u}_{2}$
$\mathrm{u}_{2}=3$ (approx value)
For computing $u_{3}$

$$
\mathrm{u}_{3}=3
$$

Hence $\left(\mathrm{u}_{1}, \mathrm{u}_{2}, \mathrm{u}_{3}\right)$
Computing subsequences-

$$
\mathrm{S}^{0}=(1,0)
$$

Let Si consist of tuples of the form $(\mathrm{f}, \mathrm{x})=(\mathrm{r}, \mathrm{c})$
$S^{0}=\{(1,0)\}$
For device $D_{1}$ for $1 D_{1}$
$\mathrm{r}_{1}=0.9, \mathrm{c}_{1}=30$
$\mathbf{S}^{1}{ }_{1}=\{(0.9,30)\}$
For device $D_{1}$ for $2 D_{1}$
$m_{1}=2\left(2 D_{1}\right.$ device in parallel $)$
Reliability of stage $1=1-\left(1-r_{1}\right)^{2}$
Reliability of stage $1=1-(1-0.9)^{2}=0.99$
Cost $=30 * 2=60$
$S^{1}{ }_{2}=\{(0.99,60)\}$
$S^{1}=\{(0.9,30),(0.99,60)\}$
$S^{1}=\{(0.9,30),(0.99,60)\}$
For one Device D2:-
$S^{2}{ }_{1}=\{(0.72,45),(0.792,75)\}$
For two Device D2:-
$S_{2}^{2}=\{(0.864,60),(0.9504,90)\}$
For three Device D2:-
$S_{3}^{2}=\{(0.8928,75),(0.98208,105)\}$
$S^{2}=\{(0.72,45),(0.792,75),(0.864,60),(0.9504,90),(0.8928,75),(0.98208,105)\}$
$(0.792,75),(0.9504,90)$ is eleminated due to purging or dominance rule and $(0.98208,105)$ is eleminated due to access cost 105.
After this we got
$S^{2}=\{(0.72,45),(0.864,60),(0.8928,75)\}$
For one Device D3:-
$S^{3}{ }_{1}=\{(0.36,65),(0.432,80),(0.4464,95)\}$
For Two Device D3:-
$S^{3}=\{(0.54,85),(0.648,100)\}$
For Three Device D3:-
$S_{3}{ }_{3}=\{(0.63,105)\}$
Now we are going to find $\mathrm{S}^{3}$
$S^{3}=\{(0.36,65),(0.432,80),(0.4464,95),(0.54,85),(0.648,100),(0.63,105)\}$
Due to purging rule after elimination we get
$S^{3}=\{(0.36,65),(0.432,80),(0.54,85),(0.648,100)$,
Now
The best design has a reliability of 0.648 and a cost of 100 .
Tracing back through $\mathrm{S}^{\mathrm{i}}{ }^{\text {s }}$
We determine that $\mathrm{m}_{1}=1, \mathrm{~m}_{2}=2, \mathrm{~m}_{3}=2$

| S.NO | RGPV QUESTIONS | Year | Marks |
| :---: | :---: | :---: | :---: |
| Q.1 |  |  |  |
| Q.2 |  |  |  |
|  |  |  |  |

Unit-III/Lecture-07
Floyd-Warshall algorithm

Floyd-Warshall algorithm:[RGPV/June-2013(7),2014(7)]
Floyd-Warshall algorithm is a procedure, which is used to find the shortest (longest) paths among all pairs of nodes in a graph, which does not contain any cycles of negative lenght. The main advantage of Floyd-Warshall algorithm is its simplicity.

## Description

Floyd-Warshall algorithm uses a matrix of lengths $\mathrm{D}_{0}$ as its input. If there is an edge between nodes i and j , than the matrix $\mathrm{D}_{0}$ contains its length at the corresponding coordinates. The diagonal of the matrix contains only zeros. If there is no edge between edges $i$ and $j$, than the position ( $\mathrm{i}, \mathrm{j}$ ) contains positive infinity.

In other words, the matrix represents lengths of all paths between nodes that does not contain any intermediate node.
In each iteration of Floyd-Warshall algorithm is this matrix recalculated, so it contains lengths of paths among all pairs of nodes using gradually enlarging set of intermediate nodes. The matrix $D_{1}$, which is created by the first iteration of the procedure, contains paths among all nodes using exactly one (predefined) intermediate node. $\mathrm{D}_{2}$ contains lengths using two predefined intermediate nodes. Finally the matrix $D_{n}$ uses $n$ intermediate nodes. This transformation can be described using the following recurrent formula:

## Floyd's Algorithm:

Define the notation $D_{k}[i, j], 1 \leq i, j \leq n$, and $0 \leq k \leq n$, that stands for the shortest distance (via a shortest path) from node $i$ to node $j$, passing through nodes whose number (label) is $\leq k$. Thus, when $k=0$, we have
$D_{0}[i, j]=W[i][j]=$ the edge weight from node $i$ to node $j$
This is because no nodes are numbered $\leq 0$ (the nodes are numbered 1 through $n$ ). In general, when $k \geq 1$,

$$
D_{k}[i, j]=\min \left(D_{k-1}[i, j], D_{k-1}[i, k]+D_{k-1}[k, j]\right)
$$

The reason for this recurrence is that when computing $D_{k}[i, j]$, this shortest path either doesn't go through node $k$, or it passes through node $k$ exactly once. The former case yields the value $D_{k-1}[i, j]$; the latter case can be illustrated as follows:


## Implementation of Floyd's Algorithm:

Input: The weight matrix $\mathrm{W}[1 . . \mathrm{n}][1 . . n]$ for a weighted directed graph, nodes are labeled 1 through n.
Output: The shortest distances between all pairs of the nodes, expressed in an $\mathrm{n} \times \mathrm{n}$ matrix.

## Algorithm:

Create a matrix D and initialize it to W.
for $\mathrm{k}=1$ to n do
for $\mathrm{i}=1$ to n do
for $\mathrm{j}=1$ to n do

$$
\mathrm{D}[\mathrm{i}][\mathrm{j}]=\min (\mathrm{D}[\mathrm{i}][\mathrm{j}], \mathrm{D}[\mathrm{i}][\mathrm{k}]+\mathrm{D}[\mathrm{k}][\mathrm{j}])
$$

Note that one single matrix $D$ is used to store $D_{k-1}$ and $D_{k}$, ie., updating from $D_{k-1}$ to $D_{k}$ is done immediately. This causes no problems because in the $\mathrm{k}^{\text {th }}$ iteration, the value of $\mathrm{D}_{\mathrm{k}}[\mathrm{i}, \mathrm{k}]$ should be the same as it was in $D_{k-1}[i, k]$; similarly for the value of $D_{k}[k, j]$. The time complexity of the above
algorithm is $\mathrm{O}\left(\mathrm{n}^{3}\right)$ because of the triple-nested loop; the space complexity is $\mathrm{O}\left(\mathrm{n}^{2}\right)$ because only one matrix is used.

Example: We demonstrate Floyd's algorithm for computing $D_{k}[i, j]$ for $k=0$ through $k=4$, for the following weighted directed graph:


Solution:

$$
\begin{array}{cc}
D_{0}=W=\left(\begin{array}{cccc}
0 & 5 & \infty & \infty \\
50 & 0 & 15 & 5 \\
30 & \infty & 0 & 15 \\
15 & \infty & 5 & 0
\end{array}\right) \\
D_{1}=\left(\begin{array}{cccc}
0 & 5 & \infty & \infty \\
50 & 0 & 15 & 5 \\
30 & 35 & 0 & 15 \\
15 & 20 & 5 & 0
\end{array}\right) \quad D_{2}=\left(\begin{array}{cccc}
0 & 5 & 20 & 10 \\
50 & 0 & 15 & 5 \\
30 & 35 & 0 & 15 \\
15 & 20 & 5 & 0
\end{array}\right)
\end{array}
$$

reduced from $\infty$ because the path $(3,1,2)$ going thru node 1 is possible in $D_{1}$

$$
D_{3}=\left(\begin{array}{cccc}
0 & 5 & 20 & 10 \\
45 & 0 & 15 & 5 \\
30 & 35 & 0 & 15 \\
15 & 20 & 5 & 0
\end{array}\right) \quad D_{4}=\left(\begin{array}{cccc}
0 & 5 & 15 & 10 \\
20 & 0 & 10 & 5 \\
30 & 35 & 0 & 15 \\
15 & 20 & 5 & 0
\end{array}\right)
$$

